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Attorney Docket No.: BISP-001/01US

PATENT

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By: \_\_\_\_\_

Dolores McKay  
Dolores McKay

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Carter D. DOUGHERTY, et al.

Serial No.: 09/921,647

Examiner: Not assigned

Conf. No.: 6502

Art Unit: 2153

Filed: August 2, 2001

For: APPARATUS AND METHOD FOR PRODUCING CONTEXTUALLY  
MARKED-UP ELECTRONIC CONTENT

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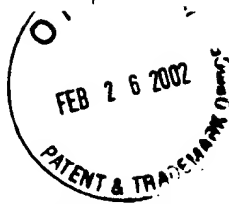
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PTO/SB/08 for the above-identified patent application.

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Respectfully submitted,  
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A handwritten signature in black ink, appearing to read "William S. Galliani".

By:

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William S. Galliani  
Reg. No. 33,885

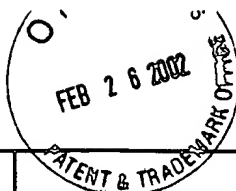
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	09/921,647
		Filing Date	August 2, 2001
		First Named Inventor	Carter D. Dougherty
		Group Art Unit	2153
		Examiner Name	Not assigned
Sheet	1	of	3
		Attorney Docket Number	BISP-001/01US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	P1	5,530,852		Meske, Jr. et al.	12-20-1994
	P2	5,745,360		Leone et al.	08-14-1995
	P3	5,761,662		Dasan	05-08-1997
	P4	5,819,284		Farber et al.	03-24-1995
	P5	5,835,087		Herz et al.	10-31-1995
	P6	5,890,152		Rapaport et al.	09-09-1996
	P7	5,905,991		Reynolds	08-21-1997
	P8	5,931,907		Davies et al.	01-23-1996
	P9	5,963,966		Mitchell et al.	11-08-1996
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	P13	6,055,522		Krishna et al.	06-19-1997
	P14	6,055,538		Kessenich et al.	10-29-1997
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	P16	6,073,143		Nishikawa et al.	06-12-2001
	P17	6,247,013		Morimoto	09-25-2001
	P18	6,295,542		Corbin	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
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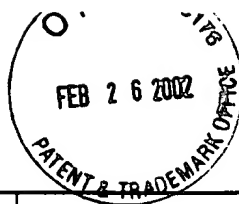
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

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Examiner Name	Not assigned				
Sheet	2	of	3	Attorney Docket Number	BISP-001/01 US

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
	D1	Gugliemo, "Amazon.com Adopts Bubbly Shopping Companion," Dec. 13, 1999, <a href="http://web.zdnet.com/intweek/stories/news/0%2C4164%2C2408313%2C00.html">http://web.zdnet.com/intweek/stories/news/0%2C4164%2C2408313%2C00.html</a> , pages 1-3		
	D2	Alexa web site with quick summary description of zBubbles beta, <a href="http://www.alexa.com/zbubbles/learn_more.html">http://www.alexa.com/zbubbles/learn_more.html</a> , author unknown, date unknown page 1 of 1		
	D3	Amazon.com zBubbles web site, <a href="http://209.247.41.100/">http://209.247.41.100/</a> , author unknown, date unknown, page 1 of 1		
	D4	Amazon.com zBubbles tour - overview, <a href="http://209.247.41.100/tour/">http://209.247.41.100/tour/</a> , author unknown, date unknown, page 1 of 1		
	D5	Amazon.com zBubbles tour - shop menu, <a href="http://209.41.100/tour/001_shop_menu.html">http://209.41.100/tour/001_shop_menu.html</a> , author unknown, date unknown, page 1 of 1		
	D6	Amazon.com zBubbles tour - shop bubble, <a href="http://209.41.100/tour/002_shop_bubble.html">http://209.41.100/tour/002_shop_bubble.html</a> , author unknown, date unknown, page 1 of 1		
	D7	Amazon.com zBubbles tour - z nuggets, <a href="http://209.247.41.100/tour/003_znuggets.html">http://209.247.41.100/tour/003_znuggets.html</a> , author unknown, date unknown, page 1 of 1		
	D8	Amazon.com zBubbles tour – recommend menu, <a href="http://209.247.41.100/tour/004_rec_menu.html">http://209.247.41.100/tour/004_rec_menu.html</a> , author unknown, date unknown, page 1 of 1		
	D9	Amazon.com zBubbles tour – recommend an online store, <a href="http://209.247.41.100/tour/005_rec_online_store.html">http://209.247.41.100/tour/005_rec_online_store.html</a> , author unknown, date unknown, page 1 of 1		
	D10	Amazon.com zBubbles tour – recommend a related product, <a href="http://209.247.41.100/tour/006_rec_related_product.html">http://209.247.41.100/tour/006_rec_related_product.html</a> , author unknown, date unknown, page 1 of 1		
	D11	Amazon.com zBubbles tour – recommend amazon.com, <a href="http://209.247.41.100/tour/007_rec_amazon.html">http://209.247.41.100/tour/007_rec_amazon.html</a> , author unknown, date unknown, page 1 of 1		
	D12	Amazon.com zBubbles tour – find the amazon.com product number, <a href="http://209.247.41.100/tour/007a_find_asin.html">http://209.247.41.100/tour/007a_find_asin.html</a> , author unknown, date unknown, page 1 of 1		
	D13	Amazon.com zBubbles tour – explore the web with the alexa feature, <a href="http://209.247.41.100/tour/008_explore.html">http://209.247.41.100/tour/008_explore.html</a> , author unknown, date unknown, page 1 of 1		
	D14	Internet Junkbuster headlines – bust the junk out of your web browsing, <a href="http://www.junkbusters.com/ht/en/ijb.html">http://www.junkbusters.com/ht/en/ijb.html</a> , author unknown, date unknown, pages 1-2		
	D15	Internet Junkbuster frequently asked questions – the top ten questions, <a href="http://junkbusters.com/ht/en/ijbfaq.html">http://junkbusters.com/ht/en/ijbfaq.html</a> , author unknown, date unknown, pages 1-23		

<sup>1</sup> Unique citation designation number.

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		Group Art Unit	2153		
Examiner Name	Not assigned	<b>Technology Center 2100</b>			
Sheet	3	of	3	Attorney Docket Number	BISP-001/01US

D16	Internet Junkbuster technical information – manual page, <a href="http://www.junkbusters.com/ht/en/ijbman.html">http://www.junkbusters.com/ht/en/ijbman.html</a> , author unknown, date unknown, pages 1-7
D17	IBM Almaden Research Center, “INTERMEDIARIES: NEW PLACES FOR PRODUCING AND MANIPULATING WEB CONTENT,” Barrett, Rob and Maglio, Paul P., date unknown, pages 1-12
D18	IBM Systems Journal, “Intermediaries: An approach to manipulating information streams,” Barrett, R. and Maglio, P.P., 38(4):629-641, (1999)
D19	IBM Research – Proxies and Servers, <a href="http://www.almaden.ibm.com/cs/wbi/doc/ProxiesAndServers.html">http://www.almaden.ibm.com/cs/wbi/doc/ProxiesAndServers.html</a> , pages 1-5, Author unknown, Date unknown
D20	IBM Research – Dictionary Definition Link, <a href="http://www.almaden.ibm.com/cs/wbi/doc/projects/dictionary/index.html">http://www.almaden.ibm.com/cs/wbi/doc/projects/dictionary/index.html</a> , pages 1-6, Author unknown, Date unknown
D21	IBM Research – IBM Transcoding Proxy Demonstration, <a href="http://www.research.ibm.com/networked_data_systems/transcoding/ibmtrans.../proxydemo.ht">http://www.research.ibm.com/networked_data_systems/transcoding/ibmtrans.../proxydemo.ht</a> , pages 1-5, Author unknown, Date unknown
D22	IBM Research – Web Intermediaries (WBI), <a href="http://www.almaden.ibm.com/cs/wbi/index.html">http://www.almaden.ibm.com/cs/wbi/index.html</a> , pages 1-2, Author unknown, Date unknown
D23	IBM Research – WBI Publications, <a href="http://www.almaden.ibm.com/cs/wbi/Publications.html">http://www.almaden.ibm.com/cs/wbi/Publications.html</a> , pages 1-2, Author unknown, Date unknown
D24	IBM Research, “INTERMEDIARIES: NEW PLACES FOR PRODUCING AND MANIPULATING WEB CONTENT,” Barrett et al., <a href="http://www.almaden.ibm.com/cs/wbi/papers/www7/intermediaries.html">http://www.almaden.ibm.com/cs/wbi/papers/www7/intermediaries.html</a> , pages 1- 14, Date unknown
D25	IBM Research – Transcoding In Depth, “Internet Transcoding Technologies for Universal Access,” Author unknown, , <a href="http://www.research.ibm.com/networked_data_systems/transcoding/In_Depth/in_depth.html">http://www.research.ibm.com/networked_data_systems/transcoding/In_Depth/in_depth.html</a> , pages 1-7, Date unknown
D26	IBM Special Report, “IBM transcoding solution and service, Extending the reach and exploiting the value of data,” (1999), Author unknown
D27	“WBI Development Kit for Java, A programmable proxy for developing and running intermediary applications on the web.” <a href="http://www.alphaworks.ibm.com/a.../207DB5D42265549888256785006559C9?OpenDocument">http://www.alphaworks.ibm.com/a.../207DB5D42265549888256785006559C9?OpenDocument</a> , Author unknown, Date unknown

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